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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,954	10/31/2001	Yoshiyuki Kaniwa	JP920000348US1

CONFIRMATION NO. 4764

23550  
HOFFMAN WARNICK & D'ALESSANDRO, LLC  
3 E-COMM SQUARE  
ALBANY, NY 12207

FORMALITIES LETTER



\*OC000000007226236\*

Date Mailed: 12/21/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*Wesley H. Hays*

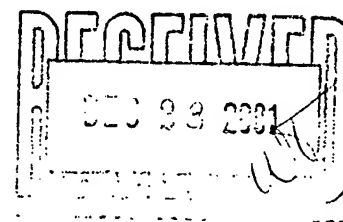
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 MBERHE 00000049 090469 10003954

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TRANSMITTAL LETTER  
(General - Patent Pending)

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Docket No.  
JP920000348US1

In Re Application Of: Kaniwa et al.

MAR 01 2002

Serial No.  
10/003,954

Filing Date  
10/31/2001

Examiner  
Unknown

Group Art Unit  
2161

Title: FINANCIAL PROCESSING SYSTEMS AND METHODS

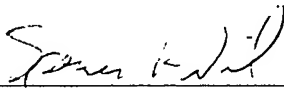
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Notice to File Missing Parts of Nonprovisional Application  
Declaration and Power of Attorney in 2 pgs.  
Recordation Form Cover Sheet  
Assignment in 2 pgs.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM)  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$130.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: February 19, 2002

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Wendy E. Thompson

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